JC02 Rec'd PCT/PTC 28 APR 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES NFA-0211 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 NA INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP03/13597 24 October 2003 29 October 2002 TITLE OF INVENTION LUMINESCENT POLYMERS AND LUMINESCENT ELEMENTS APPLICANT(S) FOR DO/EO/US Tadao Nakaya et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. х include items (5), (6), (9) and (21) indicated below. 4. x The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) Х is attached hereto (required only if not communicated by the International Bureau). a. b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. 6. l Х An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. Х h. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Articles 19 and 34. х a. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b C. have not been made; however, the time limit for making such amendments has NOT expired. d have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: x An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. Х 13. A letter of clarification of Articles 19 and 34 Amendments х 14 An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19 20. Other items or information: A copy of WO2004/039866A1(Abstract); Forms PCT/IB/301,304,306, 308 and 346; Int's Appln Trans (JP); Return Receipt Postcard

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Under the Paperwork Re	duction Act of 1995, no pe	ersons are	ا e required to respond	J. S. Patent I to a collecti	and Trademark	Office; U	hrough 03/31/2007 J.S. DEPARTMEN	390 (Rev. 02-2005) 7. OMB 0651-0021 T OF COMMERCE MB control number.
U.S. APPLICATION NO. 11 100 Sep 37 GFR 15) 4 INTERNATIONAL APPLICATION NO. PCT/JP03/13597					NO.	ATTORNEYS DOCKET NUMBER NFA-0211		
The following fees have been submitted						CA	LCULATIONS	PTO USE ONLY
21. x Basic national fee\$300						\$	300.00	
22 x Examinati	22. x Examination fee							
If International preliminary examination report prepared by USPTO and all claims satisfy								
provisions of PCT Article 33(1)-(4) \$100 All other situations \$200						s	200.00	
23. x Search fee								
	1.445(a)(2)) has been p							
USPTO as an International Searching Authority\$100 International Search Report prepared and provided to the Office\$400								
All other situations\$500						\$	400.00	<u> </u>
TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding						\$	900.00	
sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
			each additional 50 or fraction pund up to a whole number					
61 - 100 =			and up to a whole I	x \$250.00		1		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months						s		
from the earliest claimed priority date (37 CFR 1.492 (e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE						-		1
Total claims				x		\$	0.00	T
Independent claims			x		\$	0.00		
MULTIPLE DEPENDENT CLAIM(s) (if applicable) +					\$			
TOTAL OF ABOVE CALCULATIONS =						\$	900.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$		
SUBTOTAL =						\$	900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).						\$		
TOTAL NATIONAL FEE =						\$ 900.00		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$ 40.00		
TOTAL FEES ENCLOSED =						\$	940.00	
						Amou	nt to be ded:	\$
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a. A check in the amount of \$ to cover the above fees is enclosed.								
to cover the above fees is enclosed.								
b. X Please charge my Deposit Account No. 18-0013 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								
overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card								
information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))								
must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
David T. Nikaido RADER, FISHMAN & GRAUER PLLC David T. Nikaido								
1233 20th Street, N.W., Suite 501								
Washington, DC 20036								
(202) 955-3750 Date: April 28, 2005							3	
CUSTOMER NUMBER: 23353 REGISTRATION NUMBER								